# (12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

# (19) World Intellectual Property Organization International Bureau



0 5 OCT 2004

# 1 (1811) 1 111/1 111 (1 1811) 1 1811) 1 1811 1 1811 1 1811 1 1811 1 1811 1 1811 1 1811 1 1811 1 1811 1 1811 1

# (43) International Publication Date 8 July 2004 (08.07.2004)

## **PCT**

# (10) International Publication Number WO 2004/056838 A1

- (51) International Patent Classification<sup>7</sup>: C07F 7/18, 7/08
- (21) International Application Number:

PCT/EP2003/015003

- (22) International Filing Date: 8 December 2003 (08.12.2003)
- (25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data: 02258930.3 20 December 2002

03011673.5

20 December 2002 (20.12.2002) EP 23 May 2003 (23.05.2003) EP

- (71) Applicant (for all designated States except US): SIGMA COATINGS B.V. [NL/NL]; Amsterdamseweg 14, Nl-1422 Uithoom (NL).
- (72) Inventor; and
- (75) Inventor/Applicant (for US only): PLEHIERS, Mark [BE/BE]; Rue Le Correge, 21, B-1000 Bruxelles (BE).
- (74) Agents: WALSH, David, Patrick et al.; Appleyards Lees, 15 Clare Road, Halifax HX1 2HY (GB).

- (81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.
- (84) Designated States (regional): ARIPO patent (BW, GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

#### **Published:**

with international search report

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

### (54) Title: PROCESS FOR THE PRODUCTION OF SILYL CARBOXYLATE MONOMERS

$$R^{7}-CH=C$$

$$C-O-\begin{pmatrix} R^{4} & R^{1} \\ SI-O-R^{2} \\ R^{5} & R^{3} \end{pmatrix}$$

$$(I)$$

$$R^{7}$$
— $CH=C$ 
 $C$ — $CH$ 
 $C$ 

$$R^{8} - O - \left(\begin{array}{c} R^{4} \\ \downarrow \\ Si - O \\ \uparrow \\ R^{5} \end{array}\right) - \left(\begin{array}{c} R^{1} \\ \downarrow \\ Si - R^{2} \\ \uparrow \\ n \end{array}\right) R^{3}$$
(III)

(57) Abstract: A process for the production of hydrocarbyl silyl unsaturated carboxylates of formula (I) is described, wherein n represents a number of dihydrocarbylsiloxane units from 0 to 1000. The process includes the reaction of an unsaturated carboxylic acid of formula (II) with a hydrocarbyl silyl compound of formula (III) the said reaction being carried out in the presence of a silaphilic catalyst.

PROCESS FOR THE PRODUCTION OF SILYL CARBOXYLATE MONOMERS

5 The present invention relates to the production of silyl carboxylate by a surprising new route.

Silyl carboxylates are useful as monomers or co-monomers in the production of metal-free binders for self polishing antifouling paints as, for instance, disclosed in EP-A-.10 1127902. Antifouling paints are widely used to improve the performance of ships by preventing the growth of marine organisms on the sub-marine parts of ship's hulls. Binders containing metals such as tin have been widely used since the 1960's but research has shown that the 15 organotin tributyl tin (TBT) causes environmental problems such as deformations in oysters and sex changes in whelks. Silyl carboxylates derived binders are an replacement for such tin-based systems. Accordingly, the economic production of silyl carboxylate monomers will 20 make an important contribution to such systems.

Some of the polymers used in the above-described antifouling paints are based on silylated carboxylate monomers.

Several processes are known as conventional techniques for the synthesis of said silylated carboxylate monomers.

- 30 EP 1260513 relates to a process for the preparation of trialkylsilylated carboxylate monomers from hexaalkyldisilylsulfates and metallic salts of unsaturated carboxylic acids.
- 35 US 6,498,264 discloses the reaction of chlorosilanes having bulky substituent groups with unsaturated acids to produce silyl esters.

JP 5306290 A describes a process to obtain a methacrylic functional group-containing organosilicon compound. The process comprises reacting methacrylic acid with a halogenoalkylsilane (e.g. trialkylsilylchloride) in the presence of a tertiary amine compound having a cyclic structure. This process has disadvantages such as the reduced availability and storage stability of the silyl chloride. Moreover, the reaction yields as a by-product a hydrogen halide (which provokes the corrosion of the production equipment) or a halide salt (which has to be removed by filtration).

The synthesis of trimethylsilyl methacrylate from methacrylic acid and hexamethyldisilazane is described in A.Chapman & A.D.Jenkins J.Polym.Sci. Polym.Chem.Edn. vol 15, p.3075 (1977).

JP 10195084 A discloses the reaction of unsaturated carboxylic acid such as acrylic acid or methacrylic acid with a trialkylsilylhydride compound in the presence of a copper catalyst. One of the disadvantages of this method is the risk of hydrogenation of the unsaturated carboxylic acid due to a side reaction of the produced H2 on the carbon-carbon double bond.

Reaction mechanisms of nucleophilic attack at silicon have been disclosed in the literature. Bassindale et al, The Chemistry of Organic Silicon Compounds, chapter 13, J Wiley & Sons 1989, discloses extensive reaction mechanisms for silicon. However, the nucleophilic reaction mechanisms relate to halo substituted silicon type compounds and these are encouraged by the halogen leaving group.

30

20

25

EP 056108A1 (Dow Corning Corporation) discloses the acid catalysed reaction of alkoxysilanes with carboxylic acids to produce alkyl carboxylates and disiloxanes.

Nakao et al, Bulletin of the Chemical Society Japan, 54, 1267-1268 (1981) discloses the esterification of carboxylic acids with alcohols in the presence of trimethyl chlorosilane. The reaction is said to proceed via the intermediate alkoxy trimethyl silane and produces the alkyl ester in high yield together with disiloxane. The yields of methyl acetate are 96-98%.

It is one of the objects of the present invention to provide a process for the production of silyl carboxylates.

It is a further object of the present invention to provide a more convenient and efficient process for the production 20 of silyl carboxylates.

According to a first aspect of the present invention there is provided a process for the production of hydrocarbyl silyl unsaturated carboxylates of formula (I).

25

wherein

30 R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup>, R<sup>4</sup>, R<sup>5</sup> each independently represent hydrogen, hydroxyl, alkyl, alkenyl, alkynyl, alkoxyl, aryl, aryloxyl, aralkyloxyl, -O-SiR<sup>1</sup>R<sup>2</sup>R<sup>3</sup>, -O-(SiR<sup>4</sup>R<sup>5</sup>O)<sub>n</sub>-SiR<sup>1</sup>R<sup>2</sup>R<sup>3</sup> or aralkyl radical optionally substituted by one or more substituents independently selected from the group

comprising alkyl, alkoxyl, aralkyl, aralkyloxyl, aryl, aryloxyl, silyl,  $-O-SiR^1R^2R^3$ ,  $-O-(SiR^4R^5O)_n-SiR^1R^2R^3$ , hydroxyl, halogen, amino or amino alkyl radicals, or may independently be an  $-O-C(O)-C(R^5)=CHR^7$  group;

5

 $R^6$  represents a hydrogen atom, or an alkyl group, or  $(-R^{11}-1)_0$   $C(0) OR^{10}$  wherein  $R^{10}$  represents an hydrogen atom,  $-(SiR^4R^5O)_n-SiR^1R^2R^3$  wherein  $R^1$ ,  $R^2$ ,  $R^3$ ,  $R^4$ ,  $R^5$  are as already defined or an alkyl group; wherein  $R^{11}$  is independently selected from alkyl, alkenyl, alkynyl, aryl or an aralkyl radical optionally substituted by one or more substituents independently selected from alkyl, alkenyl, alkynyl, aralkyl, aryl, hydroxyl, halogen, amino or amino alkyl radicals; O=0 or 1;

15

 $R^7$  represents a hydrogen atom, or independently represents alkyl, aryl, aralkyl, alkenyl, alkynyl radical optionally substituted with the same radicals as defined for  $R^6$  above or  $R^7$  represents  $-COOR^9$  wherein  $R^9$  represents an hydrogen atom, an alkyl group or  $-(SiR^4R^5-O)_n$ -  $SiR^1R^2R^3$  wherein  $R^1$ ,  $R^2$ ,  $R^3$ ,  $R^4$  and  $R^5$  are as already defined;

by reaction of an unsaturated carboxylic acid of formula (II)

25

30

20

$$R^{7}$$
  $CH=C$   $C-OH$   $O$   $(II)$ 

wherein  $R^6$  and  $R^7$  in formula (II) are as defined above; with a hydrocarbyl silyl compound of formula (III)

$$R^{8} \longrightarrow O \xrightarrow{\begin{array}{c} R^{4} \\ | \\ Si \\ R^{5} \end{array}} O \xrightarrow{\begin{array}{c} R^{1} \\ | \\ Si \\ n \end{array}} R^{2}$$
(III)

wherein R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup>, R<sup>4</sup> and R<sup>5</sup> are as defined above and R<sup>8</sup> is an hydrogen atom, an alkyl, aralkyl or aryl, alkenyl or alkynyl group optionally substituted with one or more substituents selected from the equivalent substituents as detailed for R<sup>1</sup>-R<sup>5</sup> above; and each n above independently represents a number of dihydrocarbylsiloxane units from 0 to 1000; the said reaction being carried out in the presence of a silaphilic catalyst.

Preferably, wherein when  $R^{10}$  represents alkyl or hydrogen in formula II, it represents  $-(SiR^4R^5O-)_nSiR^1R^2R^3$  in formula I, wherein n and  $R^1-R^5$  are as defined previously.

Preferably, wherein when  $R^1$ ,  $R^2$ ,  $R^3$ ,  $R^4$  or  $R^5$  are aryloxyl, alkaryloxyl, alkoxyl or hydroxyl in formula III, they may represent  $-O-C(O)-C(R^6)=CHR^7$  in formula I.

Preferably, where  $R^9$  represents an alkyl group or an hydrogen atom in formula (II), it may represent -  $(SiR^4R^5O)_n-SiR^1R^2R^3$  in formula (I).

Preferably, the silaphilic catalysts are selected from or organic salts which fluoride containing mineral 25 sodium fluoride, limited to, are not comprise, but potassium fluoride, caesium fluoride tetrabutyl orammonium fluoride ( $Bu_4NF$ ); or are selected from N-methyl pyridine(DMAP), N,N-dimethylamino imidazole(NMI), triamide(HMPA), 4,4 dimethyl hexamethylphosphoric 30 pyridine N-oxide, N-methyl-2-pyridone(NMP), imidazole, triphenylphosphine oxide, 2,4 dimethyl pyridine, N-methyldimethyl formamide(DMF), 3,5 dimethyl 4-pyridone, Urea(DMEU), N,N-N, N-dimethylethylene pyridine,

30

35

imidazole, pyridine, dimethylpropylene Urea(DMPU), N-methyl sulphoxide (DMSO), dimethyl trimethylamine, pyrrolidinone(NMP), formamide, N-alkylformamides, N, Ndialkylformamides, acetamide, N-alkylacetamides, N,Ndialkylacetamides, alkylcyanides, N-methyl pyrrolidone, pdimethylaminobenzaldehyde, 1,2-dimethyl imidazole, LiOH, LiStearate, NaI, MeONa or MeOLi; the term alkyl in the above N-alkyl and N, N-dialkyl . . . amides and cyanides include any linear, cyclic, bicyclic, polycyclic, alkyl aliphatic or aromatic group and in the case of N,N-10 compounds the alkyl may be the same or different, an example is N-formyl Rosinamine.

6

Silaphilic catalysts have been defined as molecules having a special affinity for silicon - Brook, Silicon in Organic, Organometallic and Polymer Chemistry section 5.5, J Wiley & Sons 2000. Preferably, the silaphilic catalysts have an electron rich heteroatom such as oxygen or nitrogen. Typically, the hetero atom is substituted with electron donating groups.

Lewis acid catalysts may also be used to catalyse the process of the present invention. Accordingly, for the purposes of the present invention, the term "silaphilic catalyst" should be taken as incorporating Lewis acid catalysts such as titanium butoxide Ti(OBu)<sub>4</sub>.

Said catalyst may, for example, be a metal alkoxide, an compound such as dibutyltin dilaurate, tin organic dibutyltin dioctiate or dibutyltin diacetate, or a boron boron butoxide boric orsuch as compound Illustrative examples of metal alkoxide include aluminum triisopropoxide, aluminum aluminum triethoxide, tri-sec-butoxide, aluminum tributoxide, aluminum diisopropoxyacetyl aluminum diisopropoxy-sec-butoxide, acetanoate, di-sec-butoxyacetyl acetonate, aluminum aluminum diisopropoxyethyl acetoacetate, aluminum di-sec-

butoxyethylacetoacetate, aluminum trisacetyl acetonate, aluminum trisethylaceto acetate, aluminum acetylacetonate titanium tetraethoxide, titanium bisethylacetoacetate, titanium titanium (IV) butoxide, tetraisopropoxide, acetonate, titanium 5 diisopropoxybisacetyl diisopropoxybisethyl acetoacetate, titanium tetra-2diisopropoxybis(2-ethyl-1,3ethylhexyloxide, titanium hexanediolate), titanium dibutoxybis(triethanolaminate), tetrabutoxide, zirconium tetraisopropoxide, zirconium zirconium tributoxide tetramethoxide, zirconium 10 zirconium dibutoxide monoacetylacetonate, butoxide zirconium bisacetylacetonate, tetraacetylacetonate, zirconium trisacetylacetonate, zirconium tributoxide monoethylacetoacetate, zirconium zirconium butoxide bisethylacetoacetate, dibutoxide 15 zirconium trisethylacetoacetate and tetraethylacetoacetate. In addition to these compounds, cyclic 1,3,5-triisopropoxycyclotrialuminoxane and the like can also be used and is thereby incorporated within the definition of "silaphilic catalyst". 20

Preferably, in compounds of formula I, the number of (alk) acryloyl groups is less than 4, more preferably, less than 3, most preferably 1.

although the prior art describes Advantageously, reaction of alkoxysilanes with carboxylic acid as leading to the corresponding alkylcarboxylates and the silanol latter tending to dehydrate to (path A), the It has been surprisingly discovered that the disiloxanes. 30 use of a silaphilic catalyst (ie. a catalyst able to

coordinate in a reversible manner with the silicon atom) allows preferential substitution of alkoxy or hydroxyl

groups by the carboxy group (path B).

25

25

$$R^{a}$$
  $R^{b}$   $R^{c}$   $R^{b}$   $R^{c}$   $R^{c$ 

Advantageously, the process of the present invention results in the release of harmless by-products, namely water and methanol.

More preferably, the silaphilic catalyst is a catalyst capable of facilitating a penta or hexa coordinated silicon species in the transition state of the reaction.

More, preferably, the silaphilic catalysts are independably selected from

formamide, N-alkylformamides, N, N-DMSO, DMF. 15 acetamide, N-alkylacetamides, N, Ndialkylformamides, N-Methyl pyrrolidone, pdialkylacetamides, dimethylaminobenzaldehyde, DMAP, N-methyl imidazole, 1,2dimethyl imidazole, HMPA, DMPU, NaI, MeONa, MeOLi, Bu4NF, Ph3PO, LiOH, LiStearate and pyridine N-oxide. 20

The catalysts may be homogenous or heterogenous but preferably, are homogenous and present in a free form in the reaction medium. Alternatively, the catalysts may be bonded to a polymeric support.

Particularly preferred catalysts are independably selected from

DMF, formamide, N-alkyl formamide, N,N-dialkylformamide,  $Bu_4NF$ .

9

Preferably, the catalysts are present at a level of 0.001-100 mol% (mol/mol silane), more preferably 0.01-40 mol%, most preferably, 0.1-30 mol% in the reaction medium at the start of the reaction. Especially preferred is a range of 20-30 mol% for the formamides or 0.1-1mol% for Bu4NF.

10

Preferably, the reaction includes a polymeric inhibitor. A suitable polymerisation inhibitor is o-methoxyphenol.

Preferably, the reaction is carried out in a suitable solvent.

Suitable solvents which can be used in the process of the invention include non polar inert solvents, aliphatic hydrocarbons, cyclic and non cyclic ethers.

20

25

30

35

Suitable solvents may be independently selected from pentane, hexane, heptane, toluene, xylene, benzene, mesitylene, ethylbenzene, octane, decane, decahydronaphthlene, diethyl ether, diisopropyl ether, diisobutyl ether or mixtures thereof.

Especially preferred solvents are those which allow reactive distillation ie. which cause no distillation of any of the reactants but which allow preferential distillation of one of the products to drive the equilibrium to the right.

More especially preferred solvents are those which form a low boiling azeotrope with the distilled R<sup>8</sup>OH. Still more especially preferred solvents are those which form a hetergenous low boiling azeotrope with the distilled R<sup>8</sup>OH.



Most preferably, the solvents are independently selected from pentane, hexane, heptane, toluene and xylene.

10

Preferably, the temperature of the reaction depends on the boiling point of the azeotrope that has to be distilled, the shape of the reactor and the height of the distillation column.

Typically, the reaction is carried out in the range 0°C - 10 200°C, more preferably, 60-170°C, most preferably, 110-140°C.

Preferably, the polymerisation inhibitor is present in the range 0.001-10% wt/wt of the total reaction mix, more preferably 0.001-5% wt/wt and most preferably 0.01-2% wt/wt.

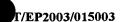
Preferably, the molar ratio of silane:acid is between 1:100 and 50:1, more preferably between 10:1 and 1:10, 20 most preferably, between 2:1 and 1:2. Preferably, the molar ratio of silane:acid is approximately 1:1.

Preferably, the solvent is at least 10 wt% of the total reaction mix at the start of the reaction, more preferably, at least 20 wt%, most preferably, at least 30 wt%. The reaction may be carried out at atmospheric pressure although both higher and lower pressures are also possible.

- 30 The reaction may also be performed without solvent and accordingly suitable ranges of solvent are 0-99 wt% of the total reaction mix, more preferably, 20-50 wt%, most preferably 30-40 wt%.
- 35 Preferably, R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup>, R<sup>4</sup> and R<sup>5</sup> each independently represent hydrogen, alkyl, alkynyl, aryl or aralkyl radicals optionally substituted as aforesaid in the first

20

35



aspect of the present invention, more preferably, optionally substituted by one or more substituents independently selected from the group comprising alkyl, aralkyl, aryl, silyl, halogen, tertiary amino or amino alkyl radicals.

Preferably,  $R^6$  represents an hydrogen atom or an alkyl group.

10 Preferably, R' represents an alkyl group, an aryl group or an aralkyl group.

Preferably,  $R^1$ ,  $R^2$ ,  $R^3$ ,  $R^4$ ,  $R^5$  each independently represent an alkyl, an aryl group or a hydrogen atom.

According to an embodiment of the present invention,  $R^1$ ,  $R^2$ ,  $R^3$ ,  $R^4$ ,  $R^5$ ,  $R^6$ ,  $R^7$  and  $R^9$  are each independently selected from the group comprising methyl, ethyl, propyl, isopropyl, isobutyl, n-butyl, sec-butyl, t-butyl. Preferably,  $R^4$ ,  $R^5$ ,  $R^6$  and  $R^9$  are methyl and  $R^7$  is hydrogen.

Preferably,  $R^8$  represents a hydrogen atom or an alkyl group.

When R<sup>1</sup>, R<sup>2</sup> and R<sup>3</sup> are alkyl groups they are preferably, independently selected from the group consisting of C1 to C8 alkyl groups, preferably C3 and C4, more preferably isopropyl and n-butyl. The said alkyl groups may be branched or linear and, optionally, they may be substituted as aforesaid in the first aspect.

Preferably, when any one of  $R^1-R^5$  is selected as  $-0-SiR^1R^2R^3$  or  $-0-(SiR^4R^5O)_n-SiR^1R^2R^3$  the  $R^1-R^5$  groups attached to the silicon radical in the selected group are not themselves,  $-0-SiR^1R^2R^3$  or  $-0-(SiR^4R^5O)_n-SiR^1R^2R^3$ . Preferably, when any one of the  $R^1-R^5$  groups is selected as  $-0-SiR^1R^2R^3$  or  $-0-(SiR^4R^5O)_n-SiR^1R^2R^3$  and such groups are substituted, the

substitution is at the  $R^1-R^5$  groups and is preferably, by alkyl, alkoxyl, aralkyl, aralkyloxyl, hydroxyl, aryl, aryloxyl, silyl, halogen, amino or amino alkyl, more preferably, alkyl or aryl, most preferably, alkyl.

5

Preferably, each n in formulas I, II or III is independently 0 to 50, more preferably, 0 to 10, most preferably 0 to 5. Especially preferred values for n are selected from 0, 1, 2, 3, 4 or 5.

10

As used herein, the term "polymer" refers to the product of a polymerisation reaction, and is inclusive of homopolymers, copolymers, terpolymers, etc.

15 As used herein, the term "copolymer" refers to polymers formed by the polymerisation reaction of at least two different monomers.

As used herein, the term "independently selected" or "independently represent" indicates that the each radical R so described, can be identical or different. For example each R4 in compound of formula (I) may be different for each value of n.

The term "alkyl", as used herein unless otherwise defined, relates to saturated hydrocarbon radicals having straight, branched, cyclic or polycyclic moieties or combinations thereof and contains 1 to 20 carbon atoms, preferably 1 to 10 carbon atoms, more preferably 1 to 8 carbon atoms, still more preferably 1 to 6 carbon atoms, yet more preferably 1 to 4 carbon atoms. Examples of such radicals include may be independently selected from methyl, ethyl, n-propyl, isopropyl n-butyl, isobutyl, set-butyl, tert-

butyl, 2-methylbutyl, pentyl, iso-amyl, hexyl, cyclohexyl, 35 3-methylpentyl, octyl and the like.

"alkenyl", as used herein, relates The term hydrocarbon radicals having one or several double bonds, having straight, branched, cyclic or polycyclic moieties or combinations thereof and containing from 2 to 18 carbon atoms, preferably 2 to 10 carbon atoms, more preferably from 2 to 8 carbon atoms, still more preferably 2 to 6 carbon atoms, yet more preferably 2 to 4 carbon atoms. allyl, include vinyl, alkenyl groups of Examples isopropenyl, pentenyl, hexenyl, heptenyl, cyclopropenyl, cyclobutenyl, cyclopentenyl, cyclohexenyl, 1-propenyl, 2-10 butenyl, 2-methyl-2-butenyl, isoprenyl, farnesyl, geranyl, geranylgeranyl and the like.

The term "alkynyl", as used herein, relates to hydrocarbon radicals having one or several triple bonds, having straight, branched, cyclic or polycyclic moieties or combinations thereof and having from 2 to 18 carbon atoms, preferably 2 to 10 carbon atoms, more preferably from 2 to 8 carbon atoms, still more preferably from 2 to 6 carbon atoms, yet more preferably 2 to 4 carbon atoms. Examples of alkynyl radicals include ethynyl, propynyl, (propargyl), butynyl, pentynyl, hexynyl and the like.

The term "aryl" as used herein, relates to an organic radical derived from an aromatic hydrocarbon by removal of 25 one hydrogen, and includes any monocyclic, bicyclic or polycyclic carbon ring of up to 7 members in each ring, wherein at least one ring is aromatic. Said radical may be optionally substituted with one or more substituents halogen, selected from alkyl, alkoxy, independently 30 hydroxy or amino radicals. Examples of aryl includes phenyl, p-tolyl, 4-methoxyphenyl, 4-(tert-butoxy)phenyl, 3-methyl-4-methoxyphenyl, 4-fluorophenyl, 4-chlorophenyl, 3-acetamidophenyl, 3-nitrophenyl, 3-aminophenyl, acetamidophenyl, 2-methyl-3-acetamidophenyl, 2-methyl-3-35 2-amino-3-3-methyl-4-aminophenyl, aminophenyl, methylphenyl, 2,4-dimethyl-3-aminophenyl, 4-hydroxyphenyl,



3-methyl-4-hydroxyphenyl, 1-naphthyl, 2-naphthyl, 3-amino-1-naphthyl, 2-methyl-3-amino-1-naphthyl, 6-amino-2-naphthyl, 4,6-dimethoxy-2-naphthyl, tetrahydronaphthyl, indanyl, biphenyl, phenanthryl, anthryl or acenaphthyl and the like.

The term "aralkyl" as used herein, relates to a group of the formula alkyl-aryl, in which alkyl and aryl have the same meaning as defined above. Examples of aralkyl radicals include benzyl, phenethyl, dibenzylmethyl, methylphenylmethyl, 3- (2-naphthyl)-butyl, and the like.

The term ''silyl'' as used herein includes -SiR¹R²R³ and - (SiR⁴R⁵O)<sub>n</sub>-SiR¹R²R³ groups wherein R¹-R⁵ are as defined herein. Preferably when the R¹,R²,R³, R⁴ or R⁵ group in formula (I) is substituted by such a silyl group at least one or more of R¹,R²,R³, R⁴ and R⁵ in the silyl group - SiR¹R²R³ or -(SiR⁴R⁵O)<sub>n</sub>-SiR¹R²R³ are alkyl or aryl and at least one or more of R¹,R²,R³,R⁴ and R⁵ in the said silyl group which are not alkyl or aryl are -O-SiR¹R²R³ or -O-(SiR⁴R⁵O)<sub>n</sub>-SiR¹R²R³.

In the silyl  $-\mathrm{SiR}^1R^2R^3$  or  $-(\mathrm{SiR}^4R^5O)_n - \mathrm{SiR}^1R^2R^3$ , if  $R^1$  is alkyl or aryl and at least one of  $R^2$  and  $R^3$  is  $-O-\mathrm{SiR}^1R^2R^3$  or  $-O-(\mathrm{SiR}^4R^5O)_n - \mathrm{SiR}^1R^2R^3$  then, preferably,  $R^1, R^2, R^3$ ,  $R^4$  and  $R^5$  in such  $-O-\mathrm{SiR}^1R^2R^3$  or  $-O-(\mathrm{SiR}^4R^5O)_n - \mathrm{SiR}^1R^2R^3$  groups are themselves alkyl or aryl and may be the same or different, more preferably, each independently may be  $C_1$  -  $C_8$  alkyl groups.

30

35

10

Examples of the ethylenically unsaturated part of formula (I) may include but are not limited to (meth)acrylate, itaconate, methyl fumarate, methyl maleate, n-butyl fumarate, n-butyl maleate, amyl fumarate, amyl maleate, and the like and polymers or copolymers thereof, wherein methacrylate or acrylate is herein collectively referred to as a "(meth)acrylate".



In a preferred embodiment, said ethylenically unsaturated part of formula (I) is (meth)acrylate, and copolymers or polymers thereof.

5 Examples of the organosilylated carboxylate monomers of general formula (I) include but are not limited to tri-ntri-n-propyl-l-1-(meth)acryloyloxy-silane, (meth)acryloyloxy silane, tri-t-butyl-1-(meth)acryloyloxytri-isopropyl-l-(meth)acryloyloxy-silane, 10 tri-methyl-1isobutyl-1-(meth)acryloyloxy-silane, (meth)acryloyloxy-silane, triethyl- l-(meth)acryloyloxysilane, tribenzyl- 1-(meth)acryloyloxy-silane, triamyl- 1-(meth)acryloyloxy-silane, triphenyl- 1-(meth)acryloyloxynonamethyl-1-(meth)acryloyloxy-tetrasiloxane, 15 silane, nonaethyl-1-(meth)acryloyloxy-tetrasiloxane, nona-t-butylnonabenzyl-1-1-(meth)acryloyloxy-tetrasiloxane, nona-isopropyl-1-(meth) acryloyloxy-tetrasiloxane, nona-n-propyl-1-(meth) acryloyloxy-tetrasiloxane, nona-isobutyl-1-(meth) acryloyloxy-tetrasiloxane, 20 nona-amyl-1-(meth) acryloyloxy-tetrasiloxane, nona-n-butyl-1-(meth) acryloyloxy-tetrasiloxane, nona-dodecyl-1-(meth) acryloyloxy-tetrasiloxane, nona-hexyl-1-(meth) acryloyloxy-tetrasiloxane, nona-phenyl-1-(meth) acryloyloxy-tetrasiloxane, 25 nona-octyl-1-(meth) acryloyloxy-tetrasiloxane, undecamethyl-1-(meth) acryloyloxy-tetrasiloxane, undecaethyl-1-(meth) acryloyloxy-pentasiloxane, undeca-t-butyl-1-(meth) acryloyloxy-pentasiloxane, undecabenzyl-1-(meth) acryloyloxy-pentasiloxane, 30 undeca-isopropyl-1-(meth) acryloyloxy-pentasiloxane, undeca-n-propyl-1-(meth) acryloyloxy-pentasiloxane, undeca-isobutyl-1-(meth) acryloyloxy-pentasiloxane, undeca-amyl-1-(meth) acryloyloxy-pentasiloxane, undeca-n-butyl-1-(meth) acryloyloxy-pentasiloxane, 35 undeca-dodecyl-1-(meth) acryloyloxy-pentasiloxane, undeca-hexyl-1-(meth) acryloyloxy-pentasiloxane,



	(meth) acryloyloxy-pentasiloxane,	undeca-phenyl-1-
	(meth)acryloyloxy-pentasiloxane,	undeca-octyl-1-
	(meth)acryloyloxy-pentasiloxane	tridecamethyl-1-
	(meth)acryloyloxy-hexasiloxane,	tridecaethyl-1-
5	(meth)acryloyloxy-hexasiloxane,	trideca-t-butyl-1-
	(meth)acryloyloxy-hexasiloxane,	tridecabenzyl-1-
	(meth)acryloyloxy-hexasiloxane,	trideca-isopropyl-1-
	(meth)acryloyloxy-hexasiloxane,	trideca-n-propyl-1-
	(meth)acryloyloxy-hexasiloxane,	trideca-isobutyl-1-
10	(meth)acryloyloxy-hexasiloxane,	trideca-amyl-1-
	(meth)acryloyloxy-hexasiloxane,	trideca-n-butyl-1-
	(meth)acryloyloxy-hexasiloxane,	trideca-dodecyl-1-
	(meth)acryloyloxy-hexasiloxane,	trideca-hexyl-1-
	(meth)acryloyloxy-hexasiloxane,	trideca-phenyl-1-
15	(meth)acryloyloxy-hexasiloxane,	trideca-octyl-1-
	(meth)acryloyloxy-hexasiloxane	1,3,3,3-tetramethyl-1-
	trimethylsilyloxy-1-(meth)acrylo	yloxy-disiloxane,
	1-ethyl,3,3,3-trimethyl-1-trimethyl	nylsilyloxy-1-
	(meth)acryloyloxy-disiloxane,	
20	tris-(trimethylsilyloxy)-1-methad	cryloyloxy-silane and

In formula I, the ethylenic unsaturated part is most preferably selected from acrylate and methacrylate.

The invention will now be described by way of illustration only and with reference to the accompanying examples.

In the following examples, NMR data has been determined in 30 CDCl<sub>3</sub> and are expressed as delta versus TMS.

#### Example 1:

25

polymers thereof.

A mixture of 20 g of methoxytributyl silane (CAS RN:15811-64-0), 8.12 g of methacrylic acid, 1.89 g of N,N-dimethylformamide, 0.2 g of p-methoxyphenol and 30 ml of heptane is heated until methanol is completely distilled



at atmospheric pressure (b.p. of the azeotrope: 59.1°C) to furnish tributylsilyl methacrylate (89%).

Tri-n-butylsilyl methacrylate: <sup>13</sup>C NMR : 167.8, 137.9, 126.0, 26.7, 25.5, 18.5, 13.5, 14.0; <sup>29</sup> Si NMR : 23.1; IR (film): 2959, 2927, 1703, 1334, 1174, 886, 766 cm<sup>-1</sup>.

#### Example 2:

A mixture of 10 g of tributyl silanol (CAS RN: 18388-85-10 7), 4.26 g of methacrylic acid, 0.94 g of N,N-dimethylformamide, 0.1 g of p-methoxyphenol and 10 ml of heptane is heated until water is completely distilled at atmospheric pressure (b.p. of the azeotrope: 79.2°C) to furnish tributylsilyl methacrylate.

15

20

30

#### Comparative Example:

A mixture of 10 g of methoxytributyl silane, 4.26 g of methacrylic acid, 1.3 g of Amberlyst A15 (sulfonic acid resin), 0.1 g of p-methoxyphenol and 10 ml of heptane is heated. After distillation of heptane, only slight amounts of tributylsilyl methacrylate are detected, hexabutyl disiloxane and methyl methacrylate are present as main products.

#### 25 Example 3:

A mixture of 10 g of tributyl silanol, 4.26 g of methacrylic acid, 0.58 g of formamide, 0.1 g of p-methoxyphenol and 10 ml of heptane is heated until water is completely distilled at atmospheric pressure (b.p. of the azeotrope: 79.2°C) to furnish tributylsilyl methacrylate.

#### Example 4:

35 A mixture of 10 g of methoxytributyl silane, 4.26 g of methacrylic acid, 1.13 g of N,N-dimethyl acetamide, 0.1 g of p-methoxyphenol and 10 ml of heptane is heated until

methanol is completely distilled at atmospheric pressure (b.p. of the azeotrope: 59.1°C) to furnish tributylsilyl methacrylate.

#### 5 Example 5:

A mixture of 10 g of methoxytributyl silane, 4.26 g of methacrylic acid, 2.0 g of N-formyl Rosinamine (prepared as described in example 1 of WO00/55117), 0.1 g of pmethoxyphenol and 10 ml of heptane is heated until methanol is completely distilled at atmospheric pressure (b.p. of the azeotrope: 59.1°C) to furnish tributylsilyl methacrylate.

#### Example 6

15 0.274 g of tetrabutylammonium fluoride trihydrate and 30 ml of heptane were heated at 110°C in order to remove water by azeotropic distillation. 20 g of methoxytributyl silane, 8.12 g of methacrylic acid and 0.2 g of pmethoxyphenol were then added. The mixture was heated until methanol is completely distilled at atmospheric pressure (b.p. of the azeotrope: 59.1°C) for 2 h. Evaporation of the solvent under reduced pressure followed by vacuum distillation furnished pure tributylsilyl methacrylate.

25

#### Example 7

0.179 g of tetrabutylammonium fluoride trihydrate and 20ml of heptane were heated at 110°C in order to remove water by azeotropic distillation. 10 g of triisopropylsilanol, 4.41 g of acrylic acid and 0.2 g of p-methoxyphenol were then added. The mixture was heated until the water is totally distilled at atmospheric pressure (b.p. of the azeotrope: 79.2°C) to furnish triisopropyl acrylate.

Triisopropylsilyl acrylate: <sup>13</sup>C NMR: 132.5, 130.4, 175.0, 12.3, 17.0; <sup>29</sup>Si NMR: 21.84; IR (film): 2948, 2870, 1708,

1620, 1465, 1403, 1290, 1209, 1046, 884, 818, 746 cm<sup>-1</sup>.



#### Example 8

15

0.132 g of tetrabutylammonium fluoride trihydrate and 20ml of heptane were heated at 110°C in order to remove water by azeotropic distillation. 13.8 g of nonamethyl-1-methoxy-tetrasiloxane (CAS: 78824-97-2), 4.41 g of methacrylic acid and 0.1 g of p-methoxyphenol were then added. The mixture was heated until the methanol is totally distilled at atmospheric pressure (b.p. of the azeotrope: 59.1°C) to furnish nonamethyl-1-

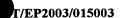
10 methacryloyloxy-tetrasiloxane.
 Nonamethyl-1-methacryloyloxy-tetrasiloxane: <sup>13</sup>C NMR: 166.8,
 126.3, 137.8, 18.1, 1.95, 1.24, 1.03, -0.13; <sup>29</sup>Si NMR: 7.3,
 -8.8, -20.1, -21.6; IR (film): 2963, 1730, 1372, 1260,
 1083, 1045, 841, 809 cm<sup>-1</sup>.

Comparative example 2 shows the behaviour of an uncatalysed reaction, the reaction is very slow and leads to a mixture of starting materials and MMA.

20 Comparative example 2 A mixture of 20 g of methoxytributyl silane, 8.12 g of methacrylic acid, 0.2 g of p-methoxyphenol and 30 ml of heptane is heated. After 7 h at 165 °C only 0.15 eq. of methanol was distilled. Analysis of the reaction mixture by GC showed a mixture of starting materials, TBSiMA and methyl methacrylate.

#### Example 9:

30 0.36 g of lithium hydroxide monohydrate and 20ml of heptane were heated at 110°C in order to remove water by azeotropic distillation. 20 g of methoxytributylsilane, 8.12 g of methacrylic acid and 0.2 g of p-methoxyphenol were then added. The mixture was heated is heated until the methanol is totally distilled at atmospheric pressure (b.p. of the azeotrope: 59.1°C) to furnish tributylsilyl methacrylate (88%).



#### Example 10:

A mixture of 21.9 g of 1,1,1,3,5,5,5-heptamethyl-3-methoxytrisiloxane (CAS RN:7671-19-4), 8.12 g of methacrylic acid, 1.89 g of N,N-dimethylformamide, 0.2 g of p-methoxyphenol and 30 ml of heptane is heated until methanol is completely distilled at atmospheric pressure (b.p. of the azeotrope: 59.1°C) to furnish 1,3,3,3-tetramethyl-1-trimethylsilyloxy-1-(meth)acryloyloxy-

10 disiloxane.

15

1,3,3,3-tetramethyl-1-trimethylsilyloxy-1(meth)acryloyloxy-disiloxane: <sup>13</sup>C NMR : 166.4, 137.7, 126.30, 18.5, 1.7, -2.7; <sup>29</sup> Si NMR : 10.1, -57.8; IR (film):2962, 1708, 1453, 1336, 1312, 1256, 1184, 1090, 1009, 953, 846, 800, 758 cm<sup>-1</sup>.

The reaction temperatures for the above mentioned examples are as follows:-

20	Example #	Т°
	1	150-170°C
	2	120-130°C
	3	120-140°C
	4	130-150°C
25	5	125-130°C
	6	110-120°C
	7	125-130°C
	8	110-135°C
	9	125-150°C
30	10	150-170°C

The reader's attention is directed to all papers and documents which are filed concurrently with or previous to this specification in connection with this application and which are open to public inspection with this specification, and the contents of all such papers and documents are incorporated herein by reference.

15

All of the features disclosed in this specification (including any accompanying claims, abstract and drawings), and/or all of the steps of any method or process so disclosed, may be combined in any combination, except combinations where at least some of such features and/or steps are mutually exclusive.

Each feature disclosed in this specification (including any accompanying claims, abstract and drawings), may be replaced by alternative features serving the same, equivalent or similar purpose, unless expressly stated otherwise. Thus, unless expressly stated otherwise, each feature disclosed is one example only of a generic series of equivalent or similar features.

The invention is not restricted to the details of the foregoing embodiment(s). The invention extends to any novel one, or any novel combination, of the features disclosed in this specification (including any accompanying claims, abstract and drawings), or to any novel one, or any novel combination, of the steps of any method or process so disclosed.



#### Claims

1. A process for the production of hydrocarbyl silyl unsaturated carboxylates of formula (I).

wherein

10

 $R^1$ ,  $R^2$ ,  $R^3$ ,  $R^4$ ,  $R^5$  each independently represent hydrogen, hydroxyl, alkyl, alkenyl, alkynyl, alkoxyl, aryl, aryloxyl, aralkyloxyl,  $-O-SiR^1R^2R^3$ ,  $-O-(SiR^4R^5O)_n-SiR^1R^2R^3$  or aralkyl radical optionally substituted by one or more substituents independently selected from the group comprising alkyl, alkoxyl, aralkyl, aralkyloxyl, aryl, aryloxyl, silyl,  $-O-SiR^1R^2R^3$ ,  $-O-(SiR^4R^5O)_n-SiR^1R^2R^3$ , hydroxyl, halogen, amino or amino alkyl radicals, or may independently be an  $-O-C(O)-C(R^6)=CHR^7$  group;

20

15

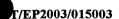
 $R^6$  represents a hydrogen atom, or an alkyl group, or  $(-R^{11}-)_0$  C(O)OR<sup>10</sup> wherein R<sup>10</sup> represents an hydrogen atom,  $-(SiR^4R^5O)_n-SiR^1R^2R^3$  wherein R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup>, R<sup>4</sup>, R<sup>5</sup> are as already defined or an alkyl group; wherein R<sup>11</sup> is independently selected from alkyl, alkenyl, alkynyl, aryl or an aralkyl radical optionally substituted by one or more substituents independently selected from alkyl, alkenyl, alkynyl, aralkyl, aryl, hydroxyl, halogen, amino or amino alkyl radicals; O=0 or 1;

30

25

 $R^7$  represents a hydrogen atom, or independently represents alkyl, aryl, aralkyl, alkenyl, alkynyl radical optionally substituted with the same radicals as defined for  $R^6$  above or  $R^7$  represents -COOR $^9$  wherein  $R^9$  represents an hydrogen

5



atom, an alkyl group or  $-(SiR^4R^5-O)_n$ -  $SiR^1R^2R^3$  wherein  $R^1$ ,  $R^2$ ,  $R^3$ ,  $R^4$  and  $R^5$  are as already defined;

by reaction of an unsaturated carboxylic acid of formula (II)

$$R^{7}$$
—CH=C  $C$ —OH  $C$ 

wherein  $R^6$  and  $R^7$  in formula (II) are as defined above; 10 with a hydrocarbyl silyl compound of formula (III)

$$R^{8} - O - \begin{pmatrix} R^{4} & & R^{1} \\ -S_{1} & & -S_{1} - R^{2} \\ -S_{1} & & -S_{1} - R^{2} \\ -S_{1} & & -S_{1} - R^{2} \end{pmatrix}$$
(III)

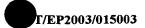
wherein R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup>, R<sup>4</sup> and R<sup>5</sup> are as defined above and R<sup>8</sup> is an hydrogen atom, an alkyl, aralkyl or aryl, alkenyl or alkynyl group optionally substituted with one or more substituents selected from the equivalent substituents as detailed for R<sup>1</sup>-R<sup>5</sup> above; and each n above independently represents a number of dihydrocarbylsiloxane units from 0 to 1000; the said reaction being carried out in the presence of a silaphilic catalyst.

- A process according to claim 1, wherein R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup>, R<sup>4</sup>,
   R<sup>5</sup> each independently represent an alkyl, an aryl group or a hydrogen atom.
- 3. A process according to claim 1 or 2, wherein R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup>, R<sup>4</sup>, R<sup>5</sup>, R<sup>6</sup> and R<sup>9</sup> are each independently selected from the group comprising methyl, ethyl, propyl, isopropyl, isobutyl, n-butyl, sec-butyl, t-butyl.

- 4. A process according to claims 1, 2 or 3 wherein  $R^4$ ,  $R^5$ ,  $R^6$ ,  $R^7$  and  $R^9$  are independently methyl.
- 5 5. A process according to claims 1, 2, 3 or 4 wherein  $R^1$ ,  $R^2$  and  $R^3$  are n-butyl.
- 6. A process according to any preceding claim, wherein fluoride containing mineral or organic salts comprise, but are not limited to, sodium 10 potassium fluoride, caesium fluoride or ammonium fluoride (Bu,NF); or are selected from N-methyl N, N-dimethylamino pyridine (DMAP), imidazole(NMI), triamide(HMPA), 4,4 dimethyl hexamethylphosphoric imidazole, N methyl-2-pyridone(NMP), pyridine N-oxide, 15 triphenylphosphine oxide, 2,4 dimethyl pyridine, N-methylformamide(DMF), 3,5 dimethyl dimethyl 4-pyridone, N, N-dimethylethylene Urea (DMEU), pyridine, Urea(DMPU), · pyridine, imidazole, dimethylpropylene dimethyl sulphoxide(DMSO), N-methyl 20 trimethylamine, pyrrolidinone(NMP), formamide, N-alkylformamides, dialkylformamides, acetamide, N-alkylacetamides, dialkylacetamides, alkylcyanides, N-methyl pyrrolidone, pdimethylaminobenzaldehyde, 1,2-dimethyl imidazole, LiOH, LiStearate, NaI, MeONa or MeOLi; the term alkyl in the 25 above N-alkyl and N, N-dialkyl . . . amides and cyanides includes any linear, cyclic, bicyclic, polycyclic, alkyl aliphatic or aromatic group and in the case of N,Ncompounds the alkyl may be the same or different, an 30 example is N-formyl Rosinamine.
  - 7. A process according to any preceding claim, wherein the catalysts are homogenous or heterogenous.
- 35 8. A process according to any preceding claim wherein the catalyst is able to coordinate reversibly with the silicon atom.



- 9. A process according to claim 8, wherein the catalyst is capable of forming a penta or hexa coordinated silicon species.
- 5 10. A process according to claim 1, wherein R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup>, R<sup>4</sup>, R<sup>5</sup>, R<sup>6</sup>, R<sup>8</sup>, R<sup>9</sup> and R<sup>7</sup> are alkyl radicals independently selected from methyl, ethyl, n-propyl, isopropyl n-butyl, isobutyl, set-butyl, tert-butyl, 2-methylbutyl, pentyl, iso-amyl, hexyl, cyclohexyl, 3-methylpentyl, octyl and the like.
- 11. A process according to claim 1, wherein the hydrocarbyl silyl esters of formula I are selected from tri-n-butyl 1-(meth)acryloyloxy-silane, tri-n-propyl-1
  (meth)acryloyloxy silane, tri-t-butyl-1-(meth)acryloyloxy-
- silane, tri-isopropyl-l-(meth)acryloyloxy-silane, tri-isobutyl-l-(meth)acryloyloxy-silane, tri-methyl-l-(meth)acryloyloxy-silane, triethyl- l-(meth)acryloyloxy-silane, tribenzyl- l-(meth)acryloyloxy-silane, triamyl- l-
- 20 (meth)acryloyloxy-silane, triphenyl- 1-(meth)acryloyloxy-silane, nonamethyl-1-(meth)acryloyloxy-tetrasiloxane, nonaethyl-1-(meth)acryloyloxy-tetrasiloxane, nona-t-butyl-1-(meth)acryloyloxy-tetrasiloxane, nonabenzyl-1-
  - (meth)acryloyloxy-tetrasiloxane, nona-isopropyl-1-
- 25 (meth)acryloyloxy-tetrasiloxane, nona-n-propyl-1-(meth)acryloyloxy-tetrasiloxane, nona-isobutyl-1-
  - (meth)acryloyloxy-tetrasiloxane, nona-amyl-1-
  - (meth) acryloyloxy-tetrasiloxane,nona-n-butyl-1-(meth) acryloyloxy-tetrasiloxane,nona-dodecyl-1-
- (meth) acryloyloxy-tetrasiloxane, nona-dodecyl-130 (meth) acryloyloxy-tetrasiloxane, nona-hexyl-1-
  - (meth) acryloyloxy-tetrasiloxane, nona-phenyl-1-
  - (meth)acryloyloxy-tetrasiloxane, nona-octyl-1-
  - (meth) acryloyloxy-tetrasiloxane,undecamethyl-1-(meth) acryloyloxy-pentasiloxane,undecaethyl-1-
- (meth) acryloyloxy-pentasiloxane, undecaethyl-135 (meth) acryloyloxy-pentasiloxane, undeca-t-butyl-1-
  - (meth) acryloyloxy-pentasiloxane, undecabenzyl-1(meth) acryloyloxy-pentasiloxane, undeca-isopropyl-1-



	(meth)acryloyloxy-pentasiloxane,	undeca-n-propyl-1-
	(meth)acryloyloxy-pentasiloxane,	undeca-isobutyl-1-
	(meth)acryloyloxy-pentasiloxane,	undeca-amyl-1-
	(meth)acryloyloxy-pentasiloxane,	undeca-n-butyl-1-
5	(meth)acryloyloxy-pentasiloxane,	undeca-dodecyl-1-
	(meth)acryloyloxy-pentasiloxane,	undeca-hexyl-1-
	(meth)acryloyloxy-pentasiloxane,	undeca-phenyl-1-
	(meth)acryloyloxy-pentasiloxane,	undeca-octyl-1-
	(meth)acryloyloxy-pentasiloxane	tridecamethyl-1-
10	(meth) acryloyloxy-hexasiloxane,	tridecaethyl-1-
	(meth)acryloyloxy-hexasiloxane,	trideca-t-butyl-1-
	(meth)acryloyloxy-hexasiloxane,	tridecabenzyl-1-
	(meth)acryloyloxy-hexasiloxane,	trideca-isopropyl-1-
	(meth)acryloyloxy-hexasiloxane,	trideca-n-propyl-1-
15	(meth)acryloyloxy-hexasiloxane,	trideca-isobutyl-1-
	(meth)acryloyloxy-hexasiloxane,	trideca-amyl-1-
	(meth)acryloyloxy-hexasiloxane,	trideca-n-butyl-1-
	(meth)acryloyloxy-hexasiloxane,	trideca-dodecyl-1-
	(meth)acryloyloxy-hexasiloxane,	trideca-hexyl-1-
20	(meth)acryloyloxy-hexasiloxane,	trideca-phenyl-1-
	(meth)acryloyloxy-hexasiloxane,	trideca-octyl-1-
	(meth)acryloyloxy-hexasiloxane	<pre>- (meth) acryloyloxy-</pre>
	hexasiloxane 1,3,3,3-tetramethyl	-1-trimethylsilyloxy-1-
	(meth)acryloyloxy-disiloxane,	
25	1-ethyl,3,3,3-trimethyl-1-trimethyl	silyloxy-1-
	(meth) acryloyloxy-disiloxane,	
	tris-(trimethylsilyloxy)-1-methacry	loyloxy-silane and
	polymers thereof.	
	•	

12. A process according to any preceding claim, wherein the catalysts are independably selected from DMF, DMSO, formamide, N-alkylformamides, N,N-dialkylformamides, acetamide, N-alkylacetamides, N,N-dialkylacetamides, N-Methyl pyrrolidone, p-dimethylaminobenzaldehyde, DMAP, N-methyl imidazole, 1,2-dimethyl imidazole, HMPA, DMPU, NaI, MeONa, MeOLi, Bu4NF, Ph3PO, LiOH, LiStearate and pyridine N-oxide.

15

25

13. A process according to any preceding claim, wherein the catalysts are present at a level of 0.001-100 mol% (mol/mol silane).

14. A process according to any preceding claim, wherein the reaction includes a polymeric inhibitor.

- 15. A process according to any preceding claim, wherein the reaction is carried out in a suitable solvent.
  - 16. A process according to claim 15, wherein suitable solvents include non polar inert solvents, aliphatic hydrocarbons, cyclic and non cyclic ethers.
- 17. A process according to any claims 15 or 16, wherein the solvent is independently selected from pentane, hexane, heptane, toluene, xylene, benzene, mesitylene, ethylbenzene, octane, decane, decahydronaphthlene, diethyl ether, diisopropyl ether, diisobutyl ether or mixtures thereof.
  - 18. A process according to any of claims 15-17, wherein the solvent causes no distillation of any of the reactants but allows reactive distillation.
    - 19. A process according to any of claims 15-18, wherein the solvent forms a low boiling azeotrope with the distilled R<sup>8</sup>OH.
- 20. A process according to any of claims 15-19, wherein the solvents are independently selected from pentane, hexane, heptane, toluene and xylene.
- 35 21. A process according to any preceding claim, wherein the reaction is carried out in the range 0°C 200°C.

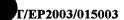
25

30

35



- 22. A process according to any preceding claim, wherein a polymerisation inhibitor is present in the range 0.001-10% wt/wt of the total reaction mix.
- 5 23. A process according to any preceding claim, wherein the molar ratio of silane:acid is between 1:100 and 50:1.
- 24. A process according to any preceding claim, wherein the solvent is at least 10 wt% of the total reaction mix 10 at the start of the reaction.
  - 25. A hydrocarbyl silyl monomer as defined in formula I produced by a process in accordance with any of claims 1-24.
- 26. A process according to claim 1, wherein the number of (alk) acryloyl groups in formula I is less than 4.
- 27. A process according to claim 1, wherein the number of 20 (alk)acryloyl groups in formula I is less than 1.
  - 28. A process according to claim 1, wherein when R<sup>10</sup> represents alkyl or hydrogen in formula II, it represents -(SiR<sup>4</sup>R<sup>5</sup>O-)<sub>n</sub>SiR<sup>1</sup>R<sup>2</sup>R<sup>3</sup> in formula I, wherein n and R<sup>1</sup>-R<sup>5</sup> are as defined previously.
  - 29. A process according to claim 1, wherein when  $R^1$ ,  $R^2$ ,  $R^3$ ,  $R^4$  or  $R^5$  are aryloxyl, alkaryloxyl, alkoxyl or hydroxyl in formula III, they may represent or  $-O-C(O)-C(R^6)=CHR^7$  in formula I.
    - 30. A process according to claim 1, wherein where  $R^9$  represents an alkyl group or an hydrogen atom in formula (II), it may represent  $-(SiR^4R^5O)_n-SiR^1R^2R^3$  in formula (I).
    - 31. A process according to any of claims 1-6 or 8-30 wherein said catalyst may be a metal alkoxide, an organic



tin compound or a boron compound or cyclic 1,3,5-triisopropoxycyclotrialuminoxane and the like.



Internal Application No PCT/EP 03/15003

A CLASSII	FICATION OF CITE IECT MATTED		
ÎPC 7	FICATION OF SUBJECT MATTER C07F7/18 C07F7/08	•	
According to	International Patent Classification (IPC) or to both national classification	ation and IPC	·
	SEARCHED  cumentation searched (classification system followed by classification)	an metalo)	
IPC 7	CO7F	оп вупшоів)	
Documental	ion searched other than minimum documentation to the extent that s	uch documents are included, in the fields se	arched
	SOLUTION STATE BILLY HIMINITUM GOODING HEADON OF THE SALON WILL S	gor, cocumento die metado in die notaci	
Electronic da	ata base consulted during the international search (name of data ba	se and, where practical, search terms used	
CHEM A	BS Data		!
	ENTS CONSIDERED TO BE RELEVANT		
Category °	Citation of document, with indication, where appropriate, of the rel	evant passages	Relevant to claim No.
Α	DATABASE CA 'Online!		1-24,
	CHEMICAL ABSTRACTS SERVICE, COLUM OHIO. US:	MBUS,	26-31
	MASAOKA, SHIGERU ET AL:		
	"Poly'dimethylalkylsilyl (meth)ac	crylates!"	
	retrieved from STN Database accession no. 108:56807	CA	
	XP002241310		``
v	abstract	DATNTC	25
X	& JP 62 179514 A (CHUGOKU MARINE LTD., JAPAN;TOSHIBA SILICONE CO., 6 August 1987 (1987-08-06)		25
	-	-/	
		,	
X Furti	ner documents are listed in the continuation of box C.	Patent family members are listed	in annex.
° Special ca	tegories of cited documents :	"T" later document published after the Inte	mational filing date
	ent defining the general state of the art which is not lered to be of particular relevance	or priority date and not in conflict with cited to understand the principle or the	
	"E" earlier document but published on or after the international "X" document of particular relevance; the claimed invention		
"L" docume	"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another eligible as a the provided reason (so another leading to a stable of another leading to a stable of a		
citation	n or other special reason (as specified) ent referring to an oral disclosure, use, exhibition or	cannot be considered to involve an involve a	rentive step when the
other means ments, such combination being obvious to a person skilled in the art.			
later th	an the priority date claimed	"&" document member of the same patent	<del> </del>
Date of the a	actual completion of the international search	Date of mailing of the International sea	arch report
2:	3 March 2004	30/03/2004	
Name and n	nailing address of the ISA European Patent Office, P.B. 6818 Patentiaan 2	Authorized officer	
	NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo ni, Fax: (431-70) 340-3016	Rinkel, L	



Interna Discoplication No
PCT/EP 03/15003

		FC1/EF 03/13003	
	etton) DOCUMENTS CONSIDERED TO BE RELEVANT	Relevant to claim No.	
Category °	Citation of document, with indication, where appropriate, of the relevant passages	Tionana to diaminito	
А	DATABASE CA 'Online! CHEMICAL ABSTRACTS SERVICE, COLUMBUS, OHIO, US; MASUOKA, SHIGERU ET AL: "Manufacture of polymerizable monomers of silyl esters of maleate monoesters" retrieved from STN Database accession no. 119:28788 CA XP002241311 abstract.	1-24, 26-31	
X	abstract & JP 05 025186 A (NIPPON OILS & FATS CO LTD, JAPAN) 2 February 1993 (1993-02-02)	25	



### INTERNATIONAL SEARCH REPORT



Box i Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)
This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:
1. Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:
Claims Nos.:     because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).
Box II Observations where unity of invention is lacking (Continuation of Item 2 of first sheet)
This International Searching Authority found multiple inventions in this international application, as follows:
see additional sheet
As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. X As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. No required additional search fees were timely paid by the applicant. Consequently, this international Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:
Remark on Protest  The additional search fees were accompanied by the applicant's protest.  No protest accompanied the payment of additional search fees.

### FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. Claims: 1-24,26-31

Process for the production of compounds of formula (I)

2. Claim : 25

Compounds of formula (I)



Internal Supplication No
PCT/EP 03/15003

Patent document cited in search report		Publication date		Patent family member(s)	Publication date
JP 62179514	Α	06-08-1987	JP JP	1845361 C 5056765 B	25-05-1994 20-08-1993
JP 5025186	A	02-02-1993	NONE		